**TEMPLATE**

**Version 2, July 2019**

**[Borrower name/Project Implementing Entity]**

**[Project Title and Number]**

**[Draft/Negotiated/Revised]**

**ENVIRONMENTAL and SOCIAL**

**COMMITMENT PLAN (ESCP)**

**[Date]**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

1. [*Borrower name*] [*will implement*] [*is implementing*] the [*name*] Project (the **Project**), with the involvement of the following Ministries/agencies/units: [*name*] [*add other Ministries/agencies/units involved*]. The *[International Bank for Reconstruction and Development/International Development Association] (hereinafter the [Bank/the Association)* [*has agreed to provide*] [*is providing*] financing for the Project.
2. [*Borrower name*] will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. [*Borrower name]* will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Plans (ESMP), Resettlement Action Plans (RAP), Indigenous Peoples Plans (IPPs), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. [*Borrower name*] is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the [*Bank/Association*] by [*Borrower name*] as required by the ESCP and the conditions of the legal agreement, and the [*Bank/Association*] will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the [*Bank/Association*] and [*Borrower name*], this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, [*Borrower name/ or delegate(s)*] will agree to the changes with the [*Bank/Association*] and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the [*Bank/Association*] and the [*Borrower name/ or delegate(s)*]. The [*Borrower name/ or delegate(s)*] will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the [*Borrower name*] shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include [*specify risks and impacts that are relevant to the Project, such as environmental, health, and safety impacts, labor influx, gender-based violence*].

| **MATERIAL MEASURES AND ACTIONS** | | **TIMEFRAME** | **RESPONSIBILE ENTITY/AUTHORITY** |
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| **MONITORING AND REPORTING** | | | |
| A | **REGULAR REPORTING**  [Environmental, social, health and safety (ESHS) performance needs to be monitored and reported to the World Bank. This needs to be reflected in the ESCP, see an example below]  Prepare and submit to the [Bank/Association] regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s). | *[Indicate frequency of reporting, e.g. quarterly, six-monthly, annual throughout Project implementation].* |  |
| B | **INCIDENTS AND ACCIDENTS**  [Incidents and accidents notification is an important requirement of ESS1. See example below].  Promptly notify the [Bank/Association] of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers [including] [specify examples of incidents and accidents, as appropriate for the type of operation]. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the [Bank/Association]’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence. | *[Specify a timeframe to notify, e.g. Notify the Bank within 48 hours after learning of the incident or accident] [timing on the submission of subsequent report would be specified by the Bank, e.g. A report would be provided within a timeframe acceptable to the Bank/Association, as requested]* |  |
| C | **CONTRACTORS MONTHLY REPORTS**  [In contracts for works using the Bank’s standard procurement documents, contractors are required to provide monthly monitoring reports to the Project Implementing Unit. If needed, teams can include an action indicating that such monthly reports would be submitted to the Bank by the Borrower upon request] |  |  |
| **ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS** | | | |
| 1.1 | **ORGANIZATIONAL STRUCTURE**  [Specify whether additional staff need to be assigned/hired to work on the Project as in the example below].  Establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks [including] [where relevant, identify specific positions for ESHS management that are a part of the organizational structure e.g. a biodiversity specialist and a health and safety specialist]. | *[Specify by when organizational structure/staff need to be in place e.g. An organizational structure including the two (2) additional specialist will be established within 30 days after Project effectiveness. The organizational structure, including the specialists, should be maintained throughout Project implementation]* |  |
| 1.2 | **ENVIRONMENTAL AND SOCIAL ASSESSMENT**  [Borrowers are required to carry out environmental and social assessment (ESA), which may involve different methods and documentation, as indicated in ESS1 Annex 1 para. 5. If the ESA is a draft that needs to be updated, a commitment to make that update should be reflected in the ESCP. If no further assessment is required as per Project screening, no additional action need be included in the ESCP. See example of an action below].  Update, adopt, and implement, the Environmental and Social Impact Assessment that has been prepared for the Project, in a manner acceptable to the [Bank/Association]. | *[Indicate timing for preparing the ESA or, if already prepared, the need for implementing the mitigation measures contained in the ESA throughout the Project implementation].* |  |
| 1.3 | **MANAGEMENT TOOLS AND INSTRUMENTS**  [Specify here any other E&S documents and/or plans developed or to be developed under ESS1, such as ESMF, ESMPs. See example below].  Screen any proposed subproject in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project, and, thereafter, draft, adopt, and implement the subproject Environmental and Social Management Plan (ESMP), as required, in a manner acceptable to the Bank/Association. | *[Indicate timing for instruments preparation. Once prepared, tools and instruments apply throughout Project implementation. Indicate whether the E&S documents and/or plans require the Bank’s prior review and approval, e.g. ESMPs submitted for the Bank/Association approval before launching the bidding process for the respective subproject. Once approved, the ESMPs are carried out throughout Project implementation].* |  |
| 1.4 | **MANAGEMENT OF CONTRACTORS**  [Some project activities may involve contractors/subcontractors to carry out physical works. In those cases, the ESCP should require the tender documents to reflect the relevant aspects of the ESCP. See example below].  Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts. | *[Indicate timing:*  *e.g. Prior to the preparation of procurement documents.*  *Supervise contractors throughout Project implementation].* |  |
| **ESS 2: LABOR AND WORKING CONDITIONS** | | | |
| 2.1 | **LABOR MANAGEMENT PROCEDURES**  [LMP may have been developed or may need to be developed by the Borrower within a specified timeframe. This should be reflected in the ESCP. See example below]  Update, adopt, and implement the Labor Management Procedures (LMP) that have been developed for the Project. | *[Indicate timing e.g. Throughout Project implementation].* |  |
| 2.2 | **GRIEVANCE MECHANISM FOR PROJECT WORKERS**  [The grievance mechanism required under ESS2 should be described in the LMP. See example below].  Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2. | *[Indicate timing – e.g. grievance mechanism operational prior engaging Project workers and maintained throughout Project implementation].* |  |
| 2.3 | **OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES**  [OHS measures, including emergency preparedness and response measures, can be set out in a separate E&S document (e.g. ESMP) already mentioned in the section under ESS1 above. In that case, the commitment can refer to that document. See an example below].  Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the ESMP. | *[Indicate timing e.g. Same timeframe than for the implementation of the ESMP].* |  |
| **ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT** [the relevance of ESS3 is established during the ESA process. ESS3 may require the adoption of specific measures to cover energy, water and raw materials use, management of air pollution, hazardous and nonhazardous wastes, chemicals and hazardous materials and pesticides. Depending on the project, these measures may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS3-related measures are covered under an existing document or as stand-alone actions. See examples below]. | | | |
| 3.1 | **E-WASTE MANAGEMENT PLAN:** Prepare, adopt, and implement an E-Waste Management Plan. | *[Indicate timing e.g. Developed three months after Project effectiveness and thereafter implemented throughout Project implementation].* |  |
| 3.2 | **RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:** Resource efficiency and pollution prevention and management measures will be covered under the ESMP to be prepared under action XX above. | *[indicate timing e.g. Same timeframe than for the preparation and implementation of the ESMPs]* |  |

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| **ESS 4: COMMUNITY HEALTH AND SAFETY** [the relevance of ESS4 is established during the ESA process. As with ESS3, ESS4 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS4-related measures are covered under an existing document or as stand-alone actions. See examples below]. | | | |
| 4.1 | **TRAFFIC AND ROAD SAFETY**: Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMPs to be developed under action XX above. | *[Indicate timing e.g. Same timeframe than for the preparation and implementation of the ESMPs].* |  |
| 4.2 | **COMMUNITY HEALTH AND SAFETY:** Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities [, including, inter alia,] [specify any areas of risks that may require emphasis, e.g. behavior of Project workers, risks of labor influx, response to emergency situations], and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Bank. | *[Indicate timing e.g. Same timeframe than for the preparation and implementation of the ESMPs].* |  |
| 4.3 | **GBV AND SEA RISKS**: [For projects with a moderate, substantial, or high prevalence of GBV risk]  Prepare, adopt, and implement a stand-alone Gender-Based Violence Action Plan (GBV Action Plan), to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA). | *[Indicate timing e.g. Submit the GBV Action Plan for the Bank’s approval before the preparation of the procurement documents. Once approved, the GBV Action Plan is implemented throughout Project implementation].* |  |
| 4.4 | **GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION**: [If necessary, specify additional funds available to implement measures to address GBV and SEA risks and impacts that may arise during Project implementation.] |  |  |
| 4.4 | **SECURITY PERSONNEL**: Prepare, adopt, and implement a stand-alone Security Personnel Management Plan consistent with the requirements of ESS4, in a manner acceptable to the Bank | *[Indicate timing e.g. Prior to engaging security personnel and thereafter implemented throughout Project implementation].* |  |

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| **ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT** [the relevance of ESS5 is established during the ESA process. If during Project preparation, it is determined that resettlement documents need to be prepared, this should be reflected in the ESCP. See examples below] | | | |
| 5.1 | **RESETTLEMENT PLANS:** Prepare, adopt, and implement resettlement plans (RAPs) in accordance with ESS 5 and consistent with the requirements of the Resettlement Policy Framework (RPF) that has been prepared for the Project, and thereafter adopt and implement the respective RAPs before carrying out the associated activities, in a manner acceptable to the [Bank/Association]. | *[Indicate timing e.g. RAPs submitted for the Bank’s approval and, once approved, implemented prior to commencing Project activities that involve land acquisition and resettlement].* |  |
| 5.2 | **GRIEVANCE MECHANISM**  [The grievance mechanism (GM) to address resettlement related complaints should be described in the RPF, RAPs and SEP. However, if there is a distinctive feature as to how ESS5 related grievances will be handled, this can be specified as an action in the ESCP]. | *[Indicate timing e.g. Prior to commencement of resettlement activities]* |  |
| **ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES** [the relevance of ESS6 is established during the ESA process. As with other ESSs, ESS6 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS6-related measures are covered under an existing document or as stand-alone actions. See examples below]. | | | |
| 6.1 | **BIODIVERSITY RISKS AND IMPACTS**: [Where biodiversity-related risks and impacts cannot be comprehensively covered as part of the ESMP]  Prepare, adopt, and implement a stand-alone Biodiversity Management Plan, in accordance with the guidelines of the ESIA prepared for the Project, and in a manner acceptable to the Bank. | *[Submit for the Bank’s prior approval by: [date][three months after Project effectiveness][ prior to construction of [structure] that may affect biodiversity]].*  *[Once approved the plan is implemented throughout Project implementation].* |  |
| **ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES** [See examples of possible actions below, if determined that ESS7 is relevant]. | | | |
| 7.1 | **INDIGENOUS PEOPLES PLAN**: Prepare, adopt, and implement Indigenous Peoples Plans (IPPs) consistent with the requirements of the Indigenous Peoples Planning Framework (IPPF) that has been prepared for the Project and ESS7, in a manner acceptable to the Bank. | *[Indicate timing e.g.*  *Submit the respective IPP for the Bank’s approval prior to the carrying out of any activity that requires the preparation of an IPP. Once approved, implement the IPP throughout Project implementation.]* |  |
| 7.2 | **GRIEVANCE MECHANISM:** Prepare, adopt, and implement the arrangements for the grievance mechanism for indigenous people, as required under the IPPF and further describe such arrangements in the respective IPPs (if the grievance mechanism is distinctive from the one established under ESS10). | *[Indicate timing]* |  |
| **ESS 8: CULTURAL HERITAGE** [the relevance of ESS6 is established during the ESA process. As with other ESSs, ESS6 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS8-related measures are covered under an existing document or as stand-alone actions. See examples below]. | | | |
| 8.1 | **CHANCE FINDS**: Prepare, adopt, and implement the chance finds procedure described in the ESMP developed for the Project. | *[Indicate timing e.g. Throughout Project implementation].* |  |

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| **ESS 9: FINANCIAL INTERMEDIARIES** [This standard is only relevant for Projects involving Financial Intermediaries (FIs). See below a couple of examples of actions that should be considered when FIs are involved.] | | | |
| 9.1 | **ESMS**: Prepare, adopt, and maintain an environmental and social management system (ESMS). | *[Indicate timing e.g. Before carrying out screening of any FI subproject. Once established, the ESMS is maintained and operated throughout Project implementation].* |  |
| 9.2 | **FI ORGANIZATIONAL CAPACITY:** Establish and maintain an organizational capacity and competency for implementing the ESMS with clearly defined roles and responsibilities [where relevant, identify specific positions/resources for E&S management that are a part of the organizational structure]. | *[Indicate timing, e.g. Specify by [date] when organizational capacity need to be in place, including specific positions/resources].* |  |
| 9.3 | **SENIOR MANAGEMENT REPRESENTATIVE:** Designate a senior management representative to have overall accountability for environmental and social performance of FI subprojects. | *[Specify by when the senior management representative needs to be designated].* |  |
| **ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE** | | | |
| 10.1 | **STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION**  [A draft SEP should have been prepared and disclosed before appraisal. The ESCP should indicate whether the plan was already prepared or needs to be updated and require its implementation. See example below].  Update, adopt, and implement Stakeholder Engagement Plan (SEP). | *[Indicate timing: e.g. Before  [insert date or milestone]]* |  |
| 10.2 | **PROJECT GRIEVANCE MECHANISM**: Prepare, adopt, maintain and operate a grievance mechanism, as described in the SEP. | *[Indicate timing: e.g. Prior to*  *[insert date]].* |  |
| **CAPACITY SUPPORT (TRAINING)** | | | |
| CS1 | [Specify Training to be provided and targeted groups  For example, training may be required for [e.g. PIU staff, stakeholders, communities, Project workers] on:   * stakeholder mapping and engagement * specific aspects of environmental and social assessment * emergency preparedness and response * community health and safety.] |  |  |
| CS2 | [Specify training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.] |  |  |