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| **Situations of Urgent Need of Assistance or Capacity Constraints: Simplified Procurement Procedures and Guiding Principles** | | |
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| |  |  |  | | --- | --- | --- | |  | | | |  | | | |  |  |  | | 05/07/13     |  | | --- | | This guidance note and guiding principles have been prepared following the introduction of the new OP/BP 10.00 *Investment Project Financing*.  The guidance provided is applicable to the procurement of goods, works and services financed under projects where the borrower/beneficiary is deemed by the Bank to: (i) be in urgent need of assistance because of a natural or man-made disaster or conflict; or (ii) experiences capacity constraints because of fragility or specific vulnerabilities (including for small states). OP 11.00 *Procurement* has been amended to specifically accommodate these situations (paragraph 20 refers)  Notable among the issues addressed in the guidance notes are the following:  ·    Strong linking of procurement planning and implementation such that one cannot become effective without the other.  ·    Rapid Capacity Assessment  ·    Management and use of UN agencies  ·    Simplification on certain procurement policies and procedures which can now be cleared, on an exceptional basis, by the RPM. These include:  o      Selection Based on the Consultants’ Qualifications (CQS) > $300,000.  o      Shopping thresholds for goods with a contract value >$500,000 and simple works with a contract value> $1,000,000  o      Shortlists of consultants where fewer than six firms have expressed interest to submit requests for proposals and where a wide geographic spread of shortlisted consultants cannot be achieved.  o      Higher prior review thresholds than those currently applied under normal operations. Particularly for small value contracts[[1]](#footnote-1)[1].  o      Use of simplified procedures and documentation where NCB or ICB procedures are considered to be inappropriate or too complex due to weak borrower implementation capacity, and where there is clearly no international market appetite to participate in bidding.  o     Simplification of the pre and post qualification criteria requirements of the Bank’s SBDs for both Large works and Small Works in order to optimize the participation of available local or regional contractors. In particular, adapting the qualification requirements to match the qualifications of available and competent local and regional contractors. |   **Guidance Note:** [Letter](http://siteresources.worldbank.org/INTPROCUREMENT/Resources/SimplifiedProcurementProceduresUnderOP10-April2013.doc) | [PDF](http://siteresources.worldbank.org/INTPROCUREMENT/Resources/SimplifiedProcurementProceduresUnderOP10-April2013.pdf)  **Guiding Principles:** [Letter](http://siteresources.worldbank.org/INTPROCUREMENT/Resources/FCS_ProcurementPositionPaper-v2.docx) | [PDF](http://siteresources.worldbank.org/INTPROCUREMENT/Resources/FCS_ProcurementPositionPaper-v2.pdf) | | | | | |

1. [1] This flexibility must not allow the review thresholds to exceed the current mandatory thresholds required for OPRC review as defined in BP11.0 Annex D  
    [↑](#footnote-ref-1)